

**PENGUMUMAN / ANNOUNCEMENT**  
**NOMOR / NUMBER: PENG- 3 /PM.1/2018**

**TENTANG**  
**PENCABUTAN IZIN USAHA PERUSAHAAN EFEK SEBAGAI MANAJER INVESTASI**  
**ATAS NAMA PT EUROCAPITAL PEREGRINE SECURITIES**  
**d.h. PT PEREGRINE SEWU SECURITIES**

**(CONCERNING REVOCATION OF BUSINESS LICENSE AS INVESTMENT MANAGER OF PT EUROCAPITAL PEREGRINE SECURITIES, FORMERLY PT PEREGRINE SEWU SECURITIES)**

Dengan ini diumumkan bahwa Otoritas Jasa Keuangan (OJK) telah menetapkan sanksi administratif berupa pencabutan izin usaha sebagai Manajer Investasi atas nama PT Eurocapital Peregrine Securities d.h. PT Peregrine Sewu Securities karena hal-hal sebagai berikut:

1. PT Eurocapital Peregrine Securities terbukti melakukan pelanggaran terhadap ketentuan angka 3 huruf a dan c Peraturan Nomor V.A.3, Lampiran Keputusan Ketua Bapepam dan LK Nomor: Kep-479/BL/2009 tanggal 31 Desember 2009 tentang Perizinan Perusahaan Efek yang Melakukan Kegiatan Usaha Sebagai Manajer Investasi, karena PT Eurocapital Peregrine Securities hanya memiliki 1 (satu) komisaris yang juga merangkap sebagai caretaker Direktur Utama yaitu Sdr. Rudi Wirawan Rusli dan yang bersangkutan tidak memiliki izin orang perseorangan sebagai Wakil Perusahaan Efek.
2. PT Eurocapital Peregrine Securities terbukti melakukan pelanggaran terhadap ketentuan angka 2 Peraturan Nomor X.E.1, Lampiran Keputusan Ketua Bapepam dan LK Nomor Kep-460/BL/2008 tanggal 10 November 2008 tentang Kewajiban Penyampaian Laporan Berkala oleh Perusahaan Efek, karena sejak izin usaha sebagai Manajer Investasi PT Eurocapital Peregrine Securities diaktifkan kembali pada tanggal 11 November 2013, PT Eurocapital Peregrine Securities tidak menyampaikan Laporan Keuangan Berkala (Laporan Keuangan Tahunan dan Laporan Keuangan Tengah Tahunan), Laporan Kegiatan, dan Laporan Akuntan atas Modal Kerja

*It is hereby announced that Indonesia Financial Services Authority imposes administrative sanction on PT Eurocapital Peregrine Securities, formerly PT Peregrine Sewu Securities by revoking its business license as Investment Manager due to:*

1. *PT Eurocapital Peregrine Securities violated provision number 3 letter a and c Rule Number V.A.3, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-479/BL/2009 dated December 31, 2009 concerning Licensing Procedures for Securities Company Conducting Business as an Investment Manager, because PT Eurocapital Peregrine Securities has only 1 (one) commissioner, Rudi Wirawan Rusli, who also acts as the President Director's caretaker. Rudi Wirawan Rusli does not have individual license as Securities Company Representative.*
2. *PT Eurocapital Peregrine Securities violated provision number 2 Rule Number X.E.1, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-460/BL/2008 dated November 10, 2008 concerning Obligation to Submit Periodical Reports by Securities Company, because PT Eurocapital Peregrine Securities has not submitted Periodical Financial Reports (Annual Financial Report and Semi Annual Financial Report), Activity Reports, and Auditor Report on Annual Net Adjusted Working Capital to OJK since the business license as Investment Manager of PT Eurocapital*

Bersih Disesuaikan (MKBD) Tahunan kepada OJK.

3. PT Eurocapital Peregrine Securities terbukti melakukan pelanggaran terhadap ketentuan angka 1, 2, dan 3 Peraturan Nomor X.N.1 Lampiran Keputusan Ketua Bapepam dan LK Nomor Kep-283/BL/2012 tanggal 24 Mei 2012 tentang Laporan Kegiatan Bulanan Manajer Investasi, karena sejak izin usaha sebagai Manajer Investasi PT Eurocapital Peregrine Securities diaktifkan kembali pada tanggal 11 November 2013, PT Eurocapital Peregrine Securities tidak menyampaikan Laporan Kegiatan Bulanan Manajer Investasi kepada OJK.
4. PT Eurocapital Peregrine Securities terbukti melakukan pelanggaran terhadap ketentuan angka 4 huruf b angka 5) Peraturan Nomor V.D.5 Lampiran Keputusan Ketua Bapepam dan LK Nomor Kep-566/BL/2011 tanggal 31 Oktober 2011 tentang Pemeliharaan dan Pelaporan Modal Kerja Bersih Disesuaikan, karena sejak izin usaha sebagai Manajer Investasi PT Eurocapital Peregrine Securities diaktifkan kembali pada tanggal 11 November 2013, PT Eurocapital Peregrine Securities tidak melakukan pemeliharaan maupun pelaporan MKBD kepada OJK.
5. PT Eurocapital Peregrine Securities terbukti melakukan pelanggaran terhadap ketentuan angka 1 Peraturan Nomor V.D.11 Lampiran Keputusan Ketua Bapepam dan LK Nomor Kep-480/BL/2009 tanggal 31 Desember 2009 tentang Pedoman Pelaksanaan Fungsi-Fungsi Manajer Investasi (Peraturan Nomor V.D.11) sebagaimana telah dicabut, dinyatakan tidak berlaku, dan digantikan dengan Pasal 2 Peraturan OJK Nomor 24/POJK.04/2014 tanggal 19 November 2014 tentang Pedoman Pelaksanaan Fungsi-Fungsi Manajer Investasi (POJK Nomor 24 tahun 2014), di mana norma yang diatur pada ketentuan angka 1 Peraturan Nomor V.D.11 masih diatur

*Peregrine Securities was reactivated on November 11, 2013.*

3. *PT Eurocapital Peregrine Securities violated provision number 1, 2, and 3 Rule Number X.N.1, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-283/BL/2012 dated May 24, 2012 concerning Investment Manager Monthly Activities Reports, because PT Eurocapital Peregrine Securities has not submitted Investment Manager Monthly Activities Reports to OJK since the business license as Investment Manager of PT Eurocapital Peregrine Securities was reactivated on November 11, 2013.*
4. *PT Eurocapital Peregrine Securities violated provision number 4 letter b number 5) Rule Number V.D.5, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-566/BL/2011 dated October 31, 2011 concerning Maintenance and Reporting of Net Adjusted Working Capital, because PT Eurocapital Peregrine Securities has not conducted maintenance on and reporting of Net Adjusted Working Capital to OJK since the business license as Investment Manager of PT Eurocapital Peregrine Securities was reactivated on November 11, 2013.*
5. *PT Eurocapital Peregrine Securities violated provision number 1 Rule Number V.D.11, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-480/BL/2009 dated December 31, 2009 concerning Guidelines of Investment Managers Functions Implementation, that was revoked and replaced by Article 2 Indonesia Financial Services Authority Number 24/POJK.04/2014 dated November 19, 2014 concerning Guidelines of Investment Manager Functions Implementation, because PT*

sama dalam ketentuan Pasal 2 POJK Nomor 24 tahun 2014, karena PT Eurocapital Peregrine Securities tidak memiliki pegawai sehingga PT Eurocapital Peregrine Securities tidak mempunyai dan tidak dapat melaksanakan fungsi-fungsi Manajer Investasi.

Dengan dicabutnya izin usaha sebagai Manajer Investasi tersebut di atas, maka PT Eurocapital Peregrine Securities dilarang melakukan kegiatan sebagai Manajer Investasi.

Pengumuman ini hendaknya disebarluaskan.

*Eurocapital Peregrine Securities has no employee hence PT Eurocapital Peregrine Securities has no Investment Manager functions and is unable to perform Investment Manager functions.*

*Following the revocation of its license as Investment Manager, PT Eurocapital Peregrine Securities is prohibited from conducting activity as Investment Manager.*

*This announcement should be widely disseminated.*

Jakarta, 31 May 2018

a.n. Dewan Komisioner Otoritas Jasa Keuangan/ On behalf of Board of Commissioners of Indonesia Financial Services Authority,

Deputi Komisioner Pengawas Pasar Modal I/  
Deputy Commissioner of Capital Market Supervision I



Djustini Septiana