

RULE NUMBER II.A.1 : DOCUMENTS THAT ARE OPEN TO THE PUBLIC

Attachment:

Decision of the Chairman of Bapepam

Number : Kep-39/PM/1997

Date : December 26, 1997

1. Documents that are open to the public are available in the Capital Market Reference Center as follows:
 - a. Law Number 8 of 1995, Government Regulations, Decrees of the Minister of Finance regarding the Capital Market, Bapepam Rules, including Circular Letters and explanations;
 - b. Registration Statements, reports and applications that have received licenses approvals and registrations including rejections and postponements by Bapepam in accordance with Law Number 8 of 1995 regarding the Capital Market and rules and regulations thereunder; and
 - c. Bapepam instructions, stipulations and decisions; and
 - d. documents presenting objections to Bapepam by Persons that have received a sanction by a Securities Exchange, a Clearing and Guarantee Institution or a Central Securities Depository.

Further descriptions of such documents are included in number 4, 5, 6, 7, 8, 9 and 10 to this Rule.

2. The Capital Market Reference Center is open to the public each day during the workweek.
3. Documents that are not open to the public and not at the Capital Market Reference Center are among others:
 - a. documents referred to in item 7 of Rule IX.C.1, Rule X.J.1, and other documents that Bapepam determines are not open to the public;
 - b. Bapepam internal operating rules;
 - c. documents relating to inspections and investigations regarding participants in the capital market, unless required by law, or Bapepam decides that public availability is in the interest of fulfilling its responsibilities to protect the interests of the public and investors and facilitate the development of the capital market, such as:
 - 1) internal Bapepam administrative documents;
 - 2) correspondence between Bapepam and other government bodies; and
 - 3) other documents and correspondence.
4. Documents relating to Issuers and Public Companies:
 - a. documents regarding Registration Statements are as follows:
 - 1) Issuer documents include:
 - a) Registration Statements for Public Offerings as referred to in Rule Number IX.C.1, including Preliminary Prospectuses, Information Memoranda and similar documents (if any);
 - b) Registration Statements for Public Offerings of Medium or Small Companies referred to in Rule Number IX.C.7, including Preliminary Prospectuses,

- Information Memoranda and similar documents (if any);
- c) announcements of the effectiveness of Registration Statements referred to in Rule Number IX.A.2;
- d) suspensions of the Public Offerings referred to in Rule Number IX.A.4; and
- e) withdrawal of suspensions referred to in Rule Number IX.A.4;
- 2) Public Company documents include:
 - a) Public Company Registration Statements referred to in Rule Number IX.B.1; and
 - b) announcements of effectiveness of Public Company Registration Statements referred to in Rule Number IX.A.2;
- 3) documents regarding Preemptive Rights include:
 - a) Registration Statements on the issuance of Preemptive Rights referred to in Rule Number IX.D.2;
 - b) announcements of effectiveness of Registration Statements on the issuance of Preemptive Rights referred to in Rule Number IX.D.2;
- b. Tender Offer documents referred to in Rule Number IX.F.1;
- c. documents regarding periodic reports as follows:
 - 1) annual reports referred to in Rule Number VIII.G.2; and
 - 2) financial periodic reports referred to in Rule Number X.K.2;
- d. other reports as follows:
 - 1) the establishment of a Corporate Secretary referred to in Rule Number IX.I.4;
 - 2) allocation reports by Allocation Managers referred to in Rule Number IX.A.7;
 - 3) the disclosure of the information that must be announced to the public referred to in Rule Number X.K.1;
 - 4) the disclosure of information regarding certain shareholder referred to in Rule Number X.M.1;
 - 5) reports regarding conflicts of interest with respect to certain transactions referred to in Rule Number IX.E.1;
 - 6) reports regarding material transactions that do not involve a conflict of interest;
 - 7) reports regarding statements and disclosure about bonus shares referred to in Circular Letter Number SE-05/PM/1996 dated December 24, 1996; and
 - 8) reports on the results of the General Shareholders Meeting referred to in Rule Number IX.I.1
- 5. Documents regarding Investment Funds are as follows:
 - a. documents of Corporate Investment Funds as follows:
 - 1) granting of Investment Fund business licenses referred to in Rule Number IV.A.1;
 - 2) revocations of Investment Fund business licenses referred to in Rule Number IV.A.1;
 - 3) articles of association of Corporate Investment Funds referred to in Rule Number IV.A.2;
 - 4) Investment Fund management contracts referred to in Rule Number IV.A.4;
 - 5) Investment Fund custodial contracts referred to in Rule Number IV.A.5;

- 6) Registration Statements of Investment Fund Public Offerings of referred to in Rule Number IX.C.4;
- 7) announcements of effectiveness of Registration Statements for Public Offerings of Corporate Investment Funds referred to in Rule Number IX.C.4;
- b. Collective Investment Contract Investment Fund documents as follows:
 - 1) collective investment contracts as referred to in Rule Number IV.B.2;
 - 2) Registration Statements for Investment Fund Public Offerings referred to in Rule Number IX.C.5;
 - 3) announcements of the effectiveness of Registration Statements referred to in Rule Number IX.C.5;
 - 4) decisions of the Chairman of Bapepam to suspend Investment Fund activities, to save the funds resources and appoint another Investment Manager to manage the Investment Fund, or liquidate the Investment Fund as referred to in Rule Number IV.B.1;
- c. other Investment Fund documents as follows:
 - 1) Prospectuses and renewal Prospectuses referred to in Rule Number IX.C.6;
 - 2) daily announcements of Net Asset Value referred to in Rule Number IV.C.3;
 - 3) granting of Investment Fund Sales Agent licenses as referred to in Rule Number V.B.2; and
 - 4) Investment Fund reports referred to in Rule Number X.D.3;
6. Documents regarding Securities Exchanges, Clearing Guarantee Institutions, and the Central Securities Depository as follows:
 - a. Securities Exchange business licenses referred to in Rule Number III.A.1;
 - b. approvals of amendments to Securities Exchange rules referred to in Rule Number III.A.2;
 - c. approvals of amendments to Securities Exchange articles of association referred to in Rule Number III.A.5;
 - d. Securities Exchange reports referred to in Rule Number X.A.1;
 - e. Clearing Guarantee Institution business licenses referred to in Rule Number III.B.1;
 - f. approvals of amendments for Clearing Guarantee Institution referred to in Rule Number III.B.2;
 - g. approvals of the amendments to Clearing Guarantee Institution articles of association referred to in Rule Number III.B.5;
 - h. Clearing Guarantee Institution reports referred to in Rule Number X.B.1;
 - i. Central Securities Depository business licenses referred to in Rule Number III.C.1;
 - j. approvals of amendments to Central Securities Depository Rules referred to in Rule Number III.C.2;
 - k. approvals of amendments to Central Securities Depository articles of association referred to in Rule Number III.C.5;
 - l. Central Securities Depository reports referred to in Rule Number X.C.1;
7. Documents regarding Securities Companies as follows:
 - a. Investment Advisor licenses referred to in Rule Number V.C.1;

- b. reports that are required from Investment Advisors referred to in Rule Number X.F.1;
 - c. monthly activity reports of Investment Managers referred to in Rule Number X.N.1;
 - d. Securities Company business licenses referred to in Rule Number V.A.1;
 - e. reports on the Net Adjusted Working Capital of Securities Companies referred to in Rule Number V.C.2;
 - f. reports regarding changes of shareholders, commissioners, and directors referred to in Rule Number V.A.1;
 - g. Securities Company financial reports;
 - h. reports of changes of Securities Company addresses and location;
 - i. Securities Company Representative licenses referred to in Rule Number V.B.1;
 - j. transfers of Securities Company Representatives; and
 - k. revocations of Securities Company Representative licenses referred to in Rule Number V.B.1;
8. Documents regarding Capital Market Supporting Institutions as follows:
- a. Securities Administration Agency business licenses referred to in Rule Number VI.B.1;
 - b. monthly and annual reports of Securities Administration Agencies and Issuer that perform administration services for their own Securities referred to in Rule Number X.H.1;
 - c. approvals of Commercial Banks to operate as Custodians as referred to in Rule Number VI.A.1;
 - d. reports of Commercial Bank Custodial operations audited by an Accountant registered with Bapepam as referred to in Rule Number X.G.1;
 - e. registrations of Commercial Banks as Trust Agents referred to in Rule Number VI.C.2;
9. Documents regarding Capital Market Supporting Professionals as follows:
- a. Registration Letters of Accountants that have activities in the Capital Market referred to in Rule Number VIII.A.1;
 - b. Registration Letters of Legal Consultants that have activities in the Capital Market referred to in Rule Number VIII.B.1;
 - c. Registration Letters of Appraisers that have activities in the Capital Market referred to in Rule Number VIII.C.1;
 - d. Registration Letters of Notaries that have activities in the Capital Market referred to in Rule Number VIII.D.1;
10. Other documents that Bapepam decides should be kept in the Capital Market Reference Centre.