## RULE NUMBER X.F.2 : INVESTMENT ADVISOR RECORD-KEEPING AND MAINTENANCE RESPONSIBILITIES

Attachment:Decision of the Chairman of BapepamNumber:Kep-71/PM/1996Date:January 17, 1996

Every Investment Advisor must keep, preserve and maintain records and if requested, must present the following to Bapepam for inspection:

- 1. contracts between clients and the Investment Advisor;
- 2. records relating to recommendations provided to clients; and
- 3. a copy of all written statements regarding recommendations including any changes.