

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY**

ATTACHMENT : **1**
Rule Number : IX.C.5

FORM NUMBER : IX.C.5-1

Number :20.....
Attachment :
Subject : Registration Statement for To:
Public Offering of Investment Chairman of Capital Market
Fund in the form of Collective and Financial Institutions
Investment Contract Supervisory Agency
In Jakarta

We hereby submit an application for Registration Statement for Public Offering of Participation Units with value of each unit of Rp/USD/Euro

Persons involved in the Registration Statement for Public Offering of Investment Fund in the Form of Collective Investment Contract are:

II. Issuer (Investment Manager)

1. Name :
2. Address :
3. Number and date of establishment deed and amendment of Article of Association :
4. Number and date of approval from the Minister of Justice and Human Rights :
5. Number and date of announcement in the State Gazette of the Republic of Indonesia :
6. Number and date of license

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- from CMFISA :
7. Tax Registration Number of the company :
8. Members of board of Directors and board of commissioners :

	Name	Nationality	Address	Job Designation
a.				
b.				
c.				
d.				
e.				

II. Custodian Bank

1. Name :
2. Address :
3. Number and date of establishment deed and amendment of Article of Association :
4. Number and date of approval from the Minister of Justice and Human Rights :
5. Number and date of announcement in the State Gazette of the Republic of Indonesia :
6. Number and date of license from CMFISA :
7. Tax Registration Number of the company :
8. Members of board of Directors and board of commissioners :

	Name	Nationality	Address	Job Designation
f.				
g.				
h.				

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i.				
j.				

III. Notary

1. Name :
.....
2. Address :
.....
3. Tax Registration Number
of the Company :
.....
4. Registration Number in
CMFISA :
.....

IV. Legal Consultant

1. Name :
.....
2. Address :
.....
3. Tax Registration Number
of the Company :
.....
4. Registration Number in
CMFISA :
.....

V. List documents to be attached:

1. Prospectus (stamped and signed by the authorized Person(s));
2. Collective Investment Contract (signed by Notary);
3. a copy of agreement of Investment Fund Selling Agent;
4. Investment Fund marketing and Operational Plan;
5. Contract with Sponsor for Investment Fund in the form of Collective Investment Contract in which its Participation Units are traded in the Exchange, if the establishment of such Participation Units involves Sponsor;
6. Agreement between Investment Manager and Participant Dealer for Investment Fund in the form of Collective Investment Contract in which its Participation Units are traded in the Exchange;

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7. Pre-listing agreement between Investment Manager and the Exchange in the case the Participation Unit of Investment Fund in the form of Collective Investment Contract are traded in the Exchange; and
8. Agreement between Investment Manager and Custodian and Settlement Institution on custodian of Participation Units, in the case the Participation Units of Investment Fund in the form of Collective Investment Contract are traded in the Exchange.

THE INFORMATION OR STATEMENTS CONTAINED IN THIS REGISTRATION STATEMENT ARE TRUE AND NO MATERIAL FACT IN THIS REGISTRATION STATEMENT IS OMITTED SO THAT THE INFORMATION IS NOT MISLEADING.

Investment Manager,

Stamp duty

.....
(Full Name)

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
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ATTACHMENT : 2
Rule Number : IX.C.5

FORM NUMBER: IX.C.5-2

Number : S- /BL/20... Jakarta,20.....
Attachment : --
Subject : Amendments and or Additional Information for Registration
Statement for Public Offering of Investment Fund

TO:
.....
In

Referring to your letter Number: dated subject
....., we hereby notify you that after reviewing the Registration Statement for
Public Offering of Investment Fund, you are requested to
submit amendment and or additional information to CMFISA as follows:

1. Amendment needed:
 - a.
 - b.
2. Additional information that must be submitted:
 - a.
 - b.

Your Registration Statement will not become effective until the above requirements
are fulfilled.

Please be advised accordingly.

Chairman,

.....
...

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY**

NIP.

.....

Cc:

1. Executive Secretary; and
2. Head of Investment Management Bureau

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
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ATTACHMENT : 3
Rule Number : IX.C.5

FORM NUMBER : IX.C.5-3

Number : S- /BL/20... Jakarta,20.....
Attachment : --
Subject : Notification of Effectiveness of Registration Statement

To:
.....
In

After reviewing your Registration Statement Number dated including the amendments and additional information that had been submitted through a letter Number, dated, we do not need any additional information and have no more comments, and the Registration Statement becomes effective.

This declaration of effectiveness is not an approval of the Public Offering nor is not a declaration that Capital Market and Financial Institutions Supervisory Agency (CMFISA) has determined that the information disclosed and documents attached are correct or sufficient, or that CMFISA has approved, authorized, or examined the advantage of investment in the company or Securities described in the Registration Statement.

Chairman,

.....
NIP.

- Cc:
1. Executive Secretary; and
 2. Head of Investment Management Bureau

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY
AGENCY**

DUPLICATE OF

**DECISION OF THE CHAIRMAN OF CAPITAL MARKET
AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY
NUMBER: KEP - 430/BL/2007**

CONCERNING

**REGISTRATION STATEMENT FOR PUBLIC OFFERING OF
INVESTMENT FUND IN THE FORM OF COLLECTIVE INVESTMENT
CONTRACT**

**CHAIRMAN OF CAPITAL MARKET AND FINANCIAL INSTITUTIONS
SUPERVISORY AGENCY,**

- Considering : That in order to enhance the efficiency of registration statement and harmonization of investment fund products, it is deemed necessary to amend Rule Number IX.C.5 concerning Registration Statement for Public Offering of Investment Fund in the form of Collective Investment Contract, Attachment to Decision of the Chairman of Capital Market Supervisory Agency Number Kep-10/PM/1997 dated 30 April 1997 by enacting a new decision of Chairman of Capital Market and Financial Institutions Supervisory Agency;
- In view of :
 1. Law Number 8 year 1995 concerning Capital Market (Statute Book year 1995 number 64, Supplement to the Statute Book Number 3608);
 2. Government Regulation Number 45 year 1995 concerning Capital Market Organization (Statute Book Year 1995 Number 86, Supplement to Statute Book Number 3617) as revised by Government Regulation Number 12 year 2004 (Statute Book Year 2004 Number 27, Supplement to Statute Book Number 4372);
 3. Government Regulation Number 46 year 1995 concerning Capital Market Formal Investigative Procedures (Statute Book year 1995 Number 87, Supplement to Statute Book Number 3618);
 4. Decree of the President of the Republic of Indonesia Number 45/M year 2006.

HAS DECIDED:

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY
AGENCY**

To enact : **DECISION OF THE CHAIRMAN OF CAPITAL
MARKET AND FINANCIAL INSTITUTIONS
SUPERVISORY AGENCY CONCERNING
REGISTRATION STATEMENT FOR PUBLIC
OFFERING OF INVESTMENT FUND IN THE FORM
OF COLLECTIVE INVESTMENT CONTRACT**

Article 1

Provisions concerning Registration Statement for Public Offering of Investment Fund in the form of Collective Investment Contract are regulated in Rule Number IX.C.5 as stipulated in the Attachment of this Decision.

Article 2

With the enactment of this Decision, the Decision of the Chairman of Capital Market Supervisory Agency Number Kep-10/PM/1997 dated 30 April 1997 concerning Registration Statement for Public Offering of Investment Fund in the form of Collective Investment Contract is declared to be revoked.

Article 3

This decision shall become effective since the date of its promulgation.

In order that all will be informed, this Regulation will be promulgated by publication in the State Gazette of the Republic of Indonesia.

Enacted in : Jakarta
Date : December 19, 2007

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY
AGENCY**

Chairman of Capital Market And Financial
Institutions Supervisory Agency

Signed

A. Fuad Rahmany
NIP. 060063058

Based on the original documents
Head General Affairs Division

Signed

Prasetyo Wahyu Adi Suryo
NIP 060076008

ATTACHMENT :

Decision of the Chairman of Capital Market
and Financial Institutions Supervisory
Agency (CMFISA)

Number : Kep-430/BL/2007

Date : December 19, 2007

**RULE NUMBER IX.C.5 : REGISTRATION STATEMENT FOR
PUBLIC OFFERING OF INVESTMENT
FUND IN THE FORM OF COLLECTIVE
INVESTMENT CONTRACT**

1. Registration statement for Public Offering of Investment Fund in the form of Collective Investment Contract shall be proposed to the Capital Market and Financial Institutions Supervisory Agency (CMFISA) by the Investment Manager by the following manners:
 - a. Submit the Registration Statement using Form Number IX.C.5-1, attachment 1 of this rule;
 - b. Submit the Registration Statement in 2 (two) copies;
 - c. Attach the document regarding Selling Agent Agreement (if any);
 - d. Submit the investment fund's marketing and operational plan;
 - e. Submit the contract with Sponsor for Investment Fund in the form of Collective Investment Contract in which its Participation Units are traded in the Exchange, should the establishment of the Participation Units involves Sponsor;
 - f. Submit agreement between the Investment Manager and Participant Dealer, for Investment Fund in the form of Collective Investment Contract in which its Participation Units are traded in the Exchange;
 - g. Submit the pre-listing agreement between the Investment Manager and the Exchange, should its Participation Units are traded in the Exchange; and
 - h. Submit the agreement between Investment Manager and Custodian and Settlement Institution on custodian of Participation Units in the case the Participation Units of Investment Fund in the form of Collective Investment Contract are traded in the Exchange
2. CMFISA shall notify the applicant whether or not the Registration Statement referred to in item 1 letter a of this rule has fulfilled the established requirements by stating that:
 - a. The Registration Statement is incomplete using Form Number IX.C.5-2, attachment 2 of this rule; or

- b. The Registration Statement is declared to be effective by CMFISA using Form Number IX.C.5-3, attachment 3 of this rule.
3. By no means of undermining criminal provisions in capital market, the CMFISA may impose sanctions against any violation of this rule, as well as against any Person who causes such violation to occur.

Enacted in : Jakarta

Date : December 19, 2007

Chairman of Capital Market and Financial
Institutions Supervisory Agency

Signed

A. Fuad Rahmany

NIP. 060063058

Based on the original documents
Head of General Affairs Division

signed

Prasetyo Wahyu Adi Suryo

NIP 060076008