

**PENGUMUMAN / ANNOUNCEMENT
NOMOR / NUMBER: PENG- 2 / PM.1/2017**

**TENTANG
PENCABUTAN IZIN ORANG PERSEORANGAN SEBAGAI
WAKIL PERANTARA PEDAGANG EFEK
ATAS NAMA RULIANTON SUTANDAR
(CONCERNING REVOCATION OF INDIVIDUAL LICENSE AS
BROKER-DEALER REPRESENTATIVE IN THE NAME OF RULIANTON SUTANDAR)**

Dengan ini diumumkan bahwa Otoritas Jasa Keuangan telah mencabut izin orang perseorangan sebagai Wakil Perantara Pedagang Efek atas nama Rulianton Sutandar melalui Keputusan Dewan Komisiner Otoritas Jasa Keuangan Nomor KEP-01/PM.112/2017 tanggal 20 Januari 2017 tentang Pencabutan Izin Orang Perseorangan Sebagai Wakil Perantara Pedagang Efek atas nama Rulianton Sutandar.

Sdr. Rulianton Sutandar terbukti melanggar ketentuan angka 6 huruf b Peraturan Nomor V.E.1, Lampiran Keputusan Ketua Bapepam Nomor Kep-29/PM/1996 tanggal 17 Januari 1996 tentang Perilaku Perusahaan Efek yang Melakukan Kegiatan Sebagai Perantara Pedagang Efek, yang mengatur bahwa Wakil Perantara Pedagang Efek dilarang melakukan transaksi atas nama nasabah tanpa atau tidak sesuai dengan perintah nasabahnya. Sdr. Rulianton Sutandar selaku sales PT UOB Kay Hian Securities yang memiliki izin Wakil Perantara Pedagang Efek telah melakukan transaksi tanpa atau tidak sesuai dengan perintah nasabahnya.

Dengan dicabutnya izin Wakil Perantara Pedagang Efek tersebut di atas, maka Sdr. Rulianton Sutandar dilarang melakukan kegiatan sebagai Wakil Perantara Pedagang Efek.

Pengumuman ini hendaknya disebarluaskan.

It is announced that Indonesia Financial Services Authority has revoked individual license as Broker-Dealer Representative in the name of Rulianton Sutandar based on Decision of Board of Commissioners Indonesia Financial Services Authority Number KEP-01/PM.112/2017 dated January 20, 2017 concerning Revocation of Individual License as Broker-Dealer Representative in the name of Rulianton Sutandar.

Rulianton Sutandar violated provision number 6 letter b Rule Number V.E.1, Attachment of Decision of Chairman of Capital Market Supervisory Agency Number Kep-29/PM/1996 dated January 17, 1996 concerning Code of Conduct for Securities Companies Acting as Broker-Dealers, which states that Broker-Dealer Representative may not engage in a transaction on behalf of a client without appropriate authorization from the client. Rulianton Sutandar as Broker-Dealer Representative of PT UOB Kay Hian Securities engaged in a transaction without appropriate authorization from the client.

Following the revocation of the Broker-Dealer Representative License, Rulianton Sutandar is prohibited from conducting business activity as Broker-Dealer Representative.

This announcement should be widely disseminated.

Jakarta, 14 Maret 2017 / March 2017

a.n. Dewan Komisiner
Otoritas Jasa Keuangan,
Deputi Komisiner
Pengawas Pasar Modal I/
On behalf of Board of Commissioners
Indonesia Financial Services Authority,
Deputy Commissioner of
Capital Market Supervision 1



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