

TENTANG
PENCABUTAN IZIN ORANG PERSEORANGAN SEBAGAI
WAKIL AGEN PENJUAL EFEK REKSA DANA ATAS NAMA ELVA RIVIANA
(CONCERNING REVOCATION OF INDIVIDUAL LICENSE AS SELLING AGENT
REPRESENTATIVE OF INVESTMENT FUND SECURITIES IN THE NAME OF ELVA RIVIANA)

Dengan ini diumumkan bahwa Otoritas Jasa Keuangan telah mencabut izin orang perseorangan sebagai Wakil Agen Penjual Efek Reksa Dana a.n. Elva Riviana melalui Keputusan Dewan Komisiner Otoritas Jasa Keuangan Nomor Kep-17/PM.112/2016 tanggal 29 November 2016 perihal Sanksi Administratif Berupa Pencabutan Izin Orang Perseorangan Sebagai Wakil Agen Penjual Efek Reksa Dana Atas Nama Elva Riviana.

Sdr. Elva Riviana terbukti melanggar ketentuan angka 5 Peraturan Nomor V.B.2, Lampiran Keputusan Ketua Bapepam dan LK Nomor Kep-09/BL/2006 tanggal 30 Agustus 2006 tentang Perizinan Wakil Agen Penjual Efek Reksa Dana, di mana ketentuan tersebut telah dicabut dan dinyatakan tidak berlaku lagi serta diganti dengan Pasal 5 Peraturan Otoritas Jasa Keuangan Nomor 50/POJK.04/2015 tentang Perizinan Wakil Agen Penjual Efek Reksa Dana, yang mengatur bahwa orang perseorangan yang memiliki izin sebagai Wakil Agen Penjual Efek Reksa Dana semata-mata berfungsi untuk memasarkan dan/atau menjual Efek Reksa Dana. Sdr. Elva Riviana, selaku pemegang izin Wakil Agen Penjual Efek Reksa Dana, telah memasarkan dan/atau menjual Reksa Dana fiktif kepada Sdr. Muhammad Ridlo Eisy ketika Sdr. Elva Riviana bekerja sebagai Wakil Agen Penjual Efek Reksa Dana di The Hongkong and Shanghai Banking Corporation.

Dengan dicabutnya izin Wakil Agen Penjual Efek Reksa Dana tersebut di atas, maka Sdr. Elva Riviana dilarang:

1. Melakukan kegiatan sebagai Wakil Agen Penjual Efek Reksa Dana;
2. Memiliki izin orang perseorangan di bidang Pasar Modal, antara lain namun tidak terbatas pada, Wakil Perusahaan Efek, Wakil Agen Penjual Efek Reksa

It is announced that Indonesia Financial Services Authority has revoked individual license as Selling Agent Representative of Investment Fund Securities in the name of Elva Riviana based on Decision of Board of Commissioner Indonesia Financial Services Authority Number Kep-17/PM.112/2016 dated November 29, 2016 concerning Administrative Sanction in the Form of Revocation of Individual License as Selling Agent Representative of Investment Fund Securities in the Name of Elva Riviana.

Elva Riviana violated provision number 5 Rule Number V.B.2, Attachment of Decision of Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-09/BL/2006 dated August 30, 2006 concerning Licensing of Selling Agent Representative of Investment Fund Securities, that is revoked and replaced by Article 5 Indonesia Financial Services Authority Number 50/POJK.04/2015 concerning Licensing of Selling Agent Representative of Investment Fund Securities, which states that Selling Agent Representative of Investment Fund Securities shall engage solely in marketing and/or selling Investment Fund. Elva Riviana, as Selling Agent Representative of Investment Fund Securities, marketed and/or sold counterfeit Investment Fund to Muhammad Ridlo Eisy when Elva Riviana was working as Selling Agent Representative of Investment Fund Securities for The Hongkong and Shanghai Banking Corporation.

Following the revocation of her license as Selling Agent Representative of Investment Fund Securities, Elva Riviana is prohibited from:

1. *Conducting activities as Selling Agent Representative of Investment Fund Securities;*
2. *Holding individual license in the Capital Market sector, among other things however not limited to, as Securities Company Representative, Selling Agent*

Dana, maupun Penasihat Investasi perorangan;

3. Menjadi pengurus (direktur maupun komisaris) Perusahaan Efek; dan
4. Menjadi pemegang saham/pengendali Perusahaan Efek.

Pengumuman ini hendaknya disebarluaskan.

Representative of Investment Fund Securities, or individual Investment Advisor;

3. *Holding position as director or commissioner of Securities Company; and*
4. *Holding position as shareholder/controlling shareholder of Securities Company.*

This announcement should be widely disseminated.

Jakarta, 30 Desember 2016

a.n. Dewan Komisiner
Otoritas Jasa Keuangan,
Deputi Komisiner Pengawas Pasar
Modal I

*On behalf of Board of Commissioner
Indonesia Financial Services Authority,
Deputy Commissioner of
Capital Market Supervision 1*



OTORITAS
JASA
KEUANGAN

Sarjito